



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

STEPHEN B. AUGER

Serial No. 08/865,419

Art Unit: 1105

Filed: May 28, 1997

For: MINERAL STAINS FOR WOOD AND OTHER SUBSTRATES

LETTER

To the Commissioner of Patents and Trademarks

Sir:

In response to the attached Notice to File Missing Parts of Application dated October 10, 1997, kindly accept the attached Declaration and Verified Statement Claiming Small Entity Status for the above-identified application.

A check for \$65 is also attached to cover the government surcharge for late filing of documents.

Respectfully,

James C. Wray, Reg. No. 22,693
Meera P. Narasimhan, Reg. No. 40,252
1493 Chain Bridge Road
Suite 300
McLean, Virginia 22101
Tel: (703) 442-4800
Fax: (703) 448-7397

December 9, 1997

0002/PTO
Rev. 6/95

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$) 677.00

Complete if Known

Application Number 08/865,419
Filing Date May 28, 1997
First Named Inventor Auger
Group Art Unit 1105
Examiner Name
Attorney Dock # Number

METHOD OF PAYMENT (check one)

1 ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account Number 02-3704
Deposit Account Name Brenner & Wray
☒ Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2 ☒ Payment Enclosed:

☒ Check

☐ Money Order

☐ Other

(reserved space)

00 00-566
00 00-927

00 00-14

00 00-59

0000118 08865419

12/15/97 LSNEED

FEE CALCULATION (fees effective 1/1/96)

1 FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	780	390			Utility filing	
106	206	300	150			Design filing fee	
107	207	540	270			Plant filing fee	
108	208	780	390			Reissue filing fee	

SUBTOTAL (1) (\$)

2 CLAIMS

Total Claims - 20 = X =
Independent Claims - 3 = X =
Multiple Dependent Claims =

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
102	78	202	39	Independent claims in excess of 3
103	22	203	11	Claims in excess of 20
104	250	204	125	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	22	210	11	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

3 ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65			Surcharge-late filing fee or oath	65
139	139	130	130			Non-English specification	
147	147	2,390	2,390			For filing a request for reexamination	
112	112	870	870			Requesting publication of SIR prior to Examiner action	
113	113	1,740	1,740			Requesting publication of SIR after Examiner action	
115	115	110	55			Extension for response within first month	
116	116	380	190			Extension for response within second month	
117	117	900	450			Extension for response within third month	
118	118	1,400	700			Extension for response within fourth month	
119	119	290	145			Filing a brief in support of an appeal	
120	120	290	145			Request for oral hearing	
121	121	250	125			Petition to institute a public use proceeding	
138	138	1,430	1,430			Petition to revive unavoidably abandoned application	
140	140	110	55			Petition to revive unintentionally abandoned application	
141	141	1,250	625			Utility issue fee (or reissue)	
142	142	1,280	640			Design issue fee	
143	143	420	210			Plant issue fee	
144	144	660	330			Petitions to the Commissioner	
122	122	130	130			Submission of Information Disclosure Stmt	
126	126	220	220			Recording each patent assignment per property (x no. properties)	
581	581	40	40			Protest after application published	
?	?	220	220			Late Claim for priority during pendency	
?	?	1500	1500			Provisional Application filing	
114	114	150	75			Fee Code 202	
						Other fee (specify) 1 x independent claim	41
						Fee Code 203	
						Other fee (specify) 16 x claim @ \$11	176
						Fee Code 201	395
						Basic Filing Fee	

SUBTOTAL (3)

(\$) 677.00

SUBMITTED BY

Typed or Printed Name Meera P. Narasimhan

Signature

Meera P. Narasimhan

Date

12-9-97

Complete (if applicable)

Reg. Number 40,252

Deposit Account User ID

Attention :- Carrie Penn

FAX :- (703) 305-3599 (3 pages total)

To: The Honorable Commissioner of Patents
Washington, D.C. 20231

Sir:

Kindly acknowledge receipt of the accompanying:
Letter, Power of Attorney

In re Application of:
STEPHEN B. AUGER

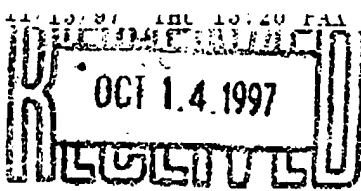
Serial No. 08/865,419

Filing Date: May 28, 1997

For: MINERAL STAINS FOR WOOD AND OTHER SUBSTRATES



by placing your receiving date stamp hereon and mailing.



RECEIVED DEC - 5 1997

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

WASHINGTON, D.C.

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
JUG/S65,419	05/29/97	AUGER	MAc



MICHAEL A GOLLIN
SPENCER & FRANK
SUITE 300 EAST
1100 NEW YORK AVENUE NW
WASHINGTON DC 20005-3955

0252/1010

NOT ASSIGNED

DATE MAILED:

1105

10/10/97

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1354

- The statutory basic filing fee is:
 - ☒ missing.
 - ☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).
- Additional claim fees of \$ 434, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- The oath or declaration:
 - ☐ is missing.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- The signature(s) to the oath or declaration is/are:
 - ☒ missing.
 - ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- Your filing receipt was mailed in error because your check was returned without payment.
- The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the response.

Customer Service Center



0300

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DEC 10 1997

GROUP 1100

Art Unit: 1105

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Respectfully,

James C. Wray, Reg. No. 22,693
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